RANSMITTAL FORM (to be a for all correspondence after initial Total Number of Pages in This Submission	Filing First N Art Un Exami	uired to respond to a collect ation Number Date lamed Inventor	Approved for use through 07/31/2006. OMB 0651-003 ent and Trademark Office, U.S. DEPARTMENT OF COMMERC tition of information unless it displays a valid OMB control number 10/668,456 September 23, 2003 J. Milton Harris et al. 1711 Nathan M. Nutter SHE0030.13
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Petition Petition t Provision Power of Change Terminal Request	· · · · · · · · · · · · · · · · · · ·	After Allowance Communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information
Firm or Individual name Susan T. Evans; N	EKTAR THE		EY, OR AGENT

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name		
	Minna Cotter	
Signature	Merina Cottes	Date HOCTO4

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT

OCT 1 3 2004 E

In re the application of: J. Milton Harris et al.)

Application No.: 10/668,456)

Filed: 09/23/2003

Title: POLYETHYLENE (GLYCOL)
DERIVATIVES WITH PROXIMAL

REACTIVE GROUPS

Atty. Docket No.: SHE0030.13

Examiner: Nathan M. Nutter

Group Art Unit: 1711

CERTIFICATE OF MAILING

10668456

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Signed: Munno Cotta

10/14/2004 JADDO1 00000046 5003 01 FC:1806 180.00 DA

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR</u> §1.56, §1.97 and §1.98

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

The references listed in the attached Forms PTO/SB/08A and B may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56, 1.97, and 1.98. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

Copies of the references are enclosed, as required under 37 CFR §§1.98.

This Information Disclosure Statement is being timely filed under 37 CFR §§1.97 and is being filed:

Attorney Docket No. SHE0030.13

	more than three months from the filing date of an application and after the mailing date
	of a first Office action on the merits, but before the mailing date of either a final action
	under section 1.113 or a notice of allowance under section 1.311 (whichever occurs
	first), and is accompanied by:
	the fee set forth in 37 CFR 1.17(p) for submission of an information
	disclosure statement under §1.97(c) (\$180.00)
\boxtimes	Please charge the amount of \$180.00 to Deposit Account 500348
\boxtimes	If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500348.
	Respectfully submitted,

By:

NEKTAR THERAPEUTICS

San Carlos, CA 94070 (650) 631-3100

Form PTO/SB/08A (Modified) Atty Docket No.: **Application No.:** SHE0030.13 10/668,456 **Information Disclosure Applicant: Statement By Applicant** J. Milton Harris et al. Filing Date: Group: (Use Several Sheets if Necessary) 09/23/2003

U.S. Patent Documents

1711

	0.5. Fatcht Documents						
Xaminer						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee Patentee	Class	Class	Date
	A	4,179,337	12/18/1979	Davis et al.			
	В	5,162,430	11/10/1992	Rhee et al.			
	С	-5,283,339	02/01/1994	Arnold			
	D	5,739,208	04/14/1998				
	Е	6,153,655	11/28/2000	Martinez et al.			
	F	6,258,351	07/10/2001	Harris			
							
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Examiner:	Date Considered:

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO/SB/08A (Modified) OCI 1 3 2004	Atty Docket No.:	Application No.:
001 1 3 2004	SHE0030.13	10/668,456
Information Disclesure	Applicant:	
Information Disclosure Statement By Applicant TRADER	J. Milton Ha	arris et al.
	Filing Date:	Group:
(Use Several Sheets if Necessary)	09/23/2003	1711

Foreign Patent or Published Foreign Patent Application

Examiner			Document	Publication	Country or		Sub-	Trans	lation
Initial		No.	No.	Date	Patent Office	Class	class	Yes	No
		G	0 605 963	07/13/1994	EP				
	\Box	H	0 839 849	05/06/1998	EP				
		I	90/05755	05/31/1990	WO				
		J	96/21469	07/18/1996	WO				
		K	97/03106	01/30/1997	WO				
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Examiner:	Date Considered:	

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OCT 1 3 2004

Form PTO/SB/08B (Modified) A TRADE Information Disclosure Statement By Applicant

Atty Docket No.:
SHE0030.13
Application No.:
10/668,456

Applicant:
J. Milton Harris et al.

Filing Date:
09/23/2003
1711

(Use Several Sheets if Necessary)

Other Documents

Examiner	T	
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	N	GREENWALD ET AL., "Highly Water Soluble Taxol Derivatives: 7-Polyethylene Glycol Carbamates and Carbonates, J. Org. Chem., Vol. 60, No. 2, pp. 331-336, 1995.
	L	HARRIS, "Laboratory Synthesis of Polyethylene Glycol Derivatives," J. of Macromolecular Science-Reviews in Macromolecular Chemistry, Vol. C-25, No. 3, pp. 325-373, Jan. 1, 1985.
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	О	MATSUSHIMA ET AL., "Modification of E. Coli Asparaginase with 2,4-Bis(O-Methoxypolyethylene Glycol)-6-Chloro-S-Triazine (Activated PEG ₂); Disappearance of Binding Ability Towards Anti-Serum and Retention of Enzymic Activity," Chemistry Letters, pp. 773-776, 1980.
	P	NATHAN ET AL., "Copolymers of Lysine and Polyethylene Glycol: A New Family of Functionalized Drug Carriers," Bioconjugate Chem. 4, 54-62 (1993).
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Examiner:	Date Considered:	

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.